

23208

23208



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/397,704	<b>FILING DATE</b> 09/16/1999 <b>RULE</b> -	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2764 2164	<b>ATTORNEY DOCKET NO.</b> 6925-196
<b>APPLICANTS</b> GERALD Craig ALTOMARE, SADDLE RIVER, NJ ; STEPHEN David REDDY, WASHINGTON CROSSING, PA ; DONALD Dong-Whan SUNG, NEW YORK, NY ; ROBERT Oliver YOUNG, COS COB, CT ;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/07/1999				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Examiner's Signature: [Signature] Initials: [Initials]		<b>STATE OR COUNTRY</b> NJ	<b>SHEETS DRAWING</b> 36	<b>TOTAL CLAIMS</b> 20 27 <b>INDEPENDENT CLAIMS</b> 6
<b>ADDRESS</b> PENNIE AND EDMONDS 1155 AVENUE OF THE AMERICAS NEW YORK, NY 100362711				
<b>TITLE</b> SYSTEM AND METHOD FOR ADMINISTERING PRINCIPAL PROTECTED EQUITY LINKED FINANCIAL INSTRUMENTS				
<b>FILING FEE RECEIVED</b> 1484	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

New Jersey